

10/537968  
JC20 Rec'd PCT/PTO 09 JUN 2005

Attorney Docket No. 12504.544

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

United States Patent Application  
United States National Stage of PCT/US03/39067

Applicant: ARIZONA BOARD OF REGENTS, a body  
corporate of the State of Arizona, acting for  
and on behalf of ARIZONA STATE  
UNIVERSITY

Group Art Unit: Unassigned

Examiner: Unassigned

Title: Narcistatin Prodrugs

Serial No.: Unassigned

International Filing Date: December 9, 2003

U.S. Filing Date: June 9, 2005

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Attached to this Information Disclosure Statement is form PTO/SB/08A listing documents that may be relevant to the subject application. Applicant respectfully requests that these documents be considered by the examiner and an initialed copy of each page of the form be returned to the undersigned.

A copy of each listed document is enclosed. Some of the documents may have markings thereon. No significance is meant to be attached to the markings.

Pursuant to 37 C.F.R. §§ 1.97 (g) and 1.97 (h), this disclosure statement should not be construed as a representation that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

10/537968

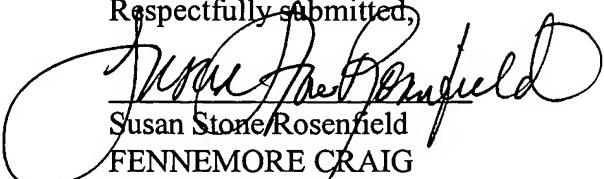
INFORMATION DISCLOSURE STATEMENT

Title: Narcistatin Prodrugs  
United States National Stage of PCT/US03/39067

It is believed that this disclosure complies with the requirements of 37 C.F.R. §§ 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedure § 609. If for some reason the Examiner considers otherwise, the undersigned respectfully requests that she be called so that any deficiencies can be remedied.

Dated: June 9, 2005

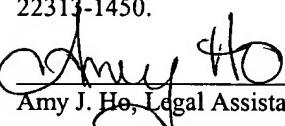
Respectfully submitted,

  
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Date of Deposit June 9, 2005

I hereby certify that this paper and all documents and any fee referred to herein are being deposited on the date indicated above with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, postage prepaid and addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Amy J. Ho, Legal Assistant

June 9, 2005  
Date of Signature

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 1 of 2

**Complete if Known** 10/53/968

Application Number	12304.544
Filing Date	June 9, 2005
First Named Inventor	George R. Pettit
Art Unit	Unassigned
Examiner Name	Unassigned

Attorney Docket Number 12504.544

## **U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			
		JP11255650	09-21-1999	Yutaka et al.	Abstract Only

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application Number	101531968
<i>(Use as many sheets as necessary)</i>		Filing Date	June 9, 2005
		First Named Inventor	George R. Pettit
		Art Unit	Unassigned
		Examiner Name	Unassigned
Sheet	2	of	2
		Attorney Docket Number	

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.**

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